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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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**Complete if Known**

Application Number	09/381,742
Filing Date	September 22, 1999
First Named Inventor	White
Group Art Unit	
Examiner Name	
Attorney Docket Number	GRENP001NP

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	/Raquel Alvarez/
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Date Considered	06/17/2008
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\*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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GRENEX CORPORATION  
MEMORANDUM

Date: 2000.08.04

From: Newton B. White, Jr.

Re: Information Disclosure Statement for GRENP01NP

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The following additional materials are enclosed for filing with the US PTO as part of Information Disclosure Statement for O&L File GRENP01NP (US Patent Application No. 09/381,742):

- ☐ 1. 1971.05.25: US Patent 3,581,072 titled "Auction Market Computation System".
- ☐ 2. 1985: Excerpts from "Market Making and the Changing Structure of the Securities Industry".
- ☐ 3. 1990.01.19: SEC Release No. 34-27611 re Delta Government Options Corp.
- ☐ 4. 1990.10.03: Form 1 filed by Wunsch Auction Systems, Inc.
- ☐ 5. 1991.02.20: SEC Release No. 34-28899 re Wunsch Auction Systems, Inc.
- ☐ 6. 1991.12.31: US Patent 5,077,665 titled "Distributed Matching System".
- ☐ 7. 1992.08.04: US Patent 5,136,501 titled "Anonymous Matching System".
- ☐ 8. 1994.10: NYSE Working Paper 94-02 titled "In Search of Liquidity: Block Trades in the Upstairs and Downstairs Markets".
- ☐ 9. 1994.11.21: Document titled "arizona stock exchange user's guide VERSION 2.1".
- ☐ 10. 1996.12.17: Document titled "1996.12.17 prior art search part 0.doc". This is a 217 page print out of a log file that was collected during an interaction with the Dialog search system on December 17, 1996. It shows both the commands that were entered into the Dialog system and the output that was received. I have not reviewed that log file in detail. I have not obtained copies of the patents identified in that log file.
- ☒ 11. ~~1996.12.17: Document titled "1996.12.17 prior art search part 1.doc". This is a 30 page print out of a log file that was collected during an interaction with the Dialog search system on December 17, 1996. It shows both the commands that were entered into the Dialog system and the output that was received. I have not reviewed that log file in detail. I have not obtained copies of the patents identified in that log file.~~

GRENEK CORPORATION  
MEMORANDUM

- ☐ 12. 1998.03.12: PCT International Publication No. WO 98/10361 for International Application No. PCT/US97/15492 titled "~~Conditional Purchase Offer Management System~~".
- ☐ 13. 1998.08.11: US Patent 5,794,207 titled "~~Method and Apparatus for a Cryptographically Assisted Commercial Network System Designed to Facilitate Buyer-Driven Conditional Purchase Offers~~".

 8/4/2000  
Newton B. White, Jr.